



JUL 02 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USPTO.GOV

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/781,046	02/08/2001	Kangsheng Wang	258/193

CONFIRMATION NO. 9275

FORMALITIES LETTER



OC000000006018596

22249
LYON & LYON LLP
SUITE 4700
633 WEST FIFTH STREET
LOS ANGELES, CA 90071-2066

Date Mailed: 04/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$188.
 - \$108 for 6 total claims over 20.
 - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1028.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible (see 37 CFR 1.84(o));

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Sector #

Patent
Attorney Docket: 258/193

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)
) **Group Art Unit:** 1632
Inventor: WANG, Kangsheng)
) **Examiner:** to be assigned
Serial No.: 09/781,046)
)
Filed: 02/08/2001)
)
For: A METHOD AND SYSTEM FOR)
INTRODUCING A GENE INTO A HUMAN)
STEM CELL)

TRANSMITTAL OF MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f)**, which was mailed by the Patent Office on April 30, 2001, enclosed are:

- ☐ Declaration
- ☒ Power of Attorney: ☐ Separate or ☒ Combined with Declaration
- ☐ Assignment of the invention to BIOAGRI CORP. (together with PTO Form 1595)
- ☐ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)

LA-199799 1

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

June 28, 2001
Date of Deposit

Rowena R. Estrada
Name of Person Mailing Paper

Signature of Person Mailing Paper

- ☐ Statement Claiming Small Entity Status (37 CFR 1.9(f) & 1.27(c))
- ☐ Substitute Drawings (2 sheets)
- ☐ Return Postcard

II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- ☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$195.00	\$390.00
<input type="checkbox"/> three months	\$445.00	\$890.00
<input type="checkbox"/> four months	\$695.00	\$1,390.00
<input type="checkbox"/> five months	\$945.00	\$1,890.00

Free

- ☐ If any extension fee is required, please consider this a petition therefor.

III. FILING FEES

- ☐ Applicant claims small entity status pursuant to 37 CFR 1.27.

BASIC FILING FEE:						\$710.00
Total Claims	26	-	20	=	6 x \$18.00	\$108.00
Independent Claims	4	-	3	=	1 x \$80.00	\$80.00
Multiple Dependent Claims	\$270	(if applicable) <input type="checkbox"/>				\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable) <input checked="" type="checkbox"/>				\$130.00
TOTAL OF ABOVE CALCULATIONS						\$1,028.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. <input checked="" type="checkbox"/>						\$514.00
Extension of Time (from above)						\$0.00
Assignment -- \$40 (if applicable) <input checked="" type="checkbox"/>						\$40.00
TOTAL FEES SUBMITTED HERewith						\$554.00

IV. METHOD OF PAYMENT OF FEES:

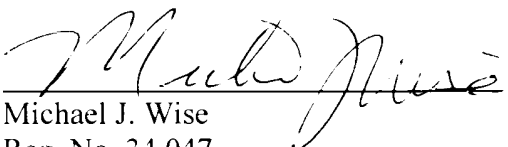
- ☐ A check in the amount of \$554.00 is enclosed to cover the above fee(s).
- ☐ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of _____.
- ☐ The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: June 28, 2001

By:


Michael J. Wise
Reg. No. 34,047



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
Suite 4700
633 W. Fifth Street
Los Angeles, CA 90071
(213) 489-1600